



COMPLIANCE SERVICES

Maintaining a robust compliance framework

If your business is engaged in activities that come under the control of a regulator, Target Group can provide support, consultancy and assurance to help you manage your regulatory risks.

We provide a wide array of services to organisations in regulated environments that are looking to promote compliance standards, educate their workforce and understand potential risk.

Target Group currently holds a range of FCA permissions including:

- Administering & arranging a regulated mortgage contract – *Customer*
- Administering & arranging a home reversion plan – *Customer*
- Arranging deals in investments – *Professional/Retail*

TARGET VALUE ADDED SERVICES

HOW WE CAN SUPPORT YOU

- 01_**
Consultation on regulatory changes and industry developments.
- 02_**
Consultation on compliant business processes.
- 03_**
Guidance on emerging issues.
- 04_**
Internal audit services for clients.
- 05_**
ISAE3402, AML and Risk reporting.

BENEFITS TO YOUR BUSINESS



RISK MITIGATION

We can support your business in minimising the risk of regulatory fines or enforcement action by the regulator.



COST EFFICIENCY

Reduce the potential disruption and costs associated with maintaining regulatory compliance.



BUSINESS OPPORTUNITIES

Maximise competitive and strategic opportunities resulting from any regulatory change.



PEACE OF MIND

Provide independent assurance and benchmarking of your regulatory compliance regime.

WHY TARGET GROUP

We are a leading provider of compliance support, enabling our clients to operate more efficiently and swiftly to bring products to market whilst managing risk. Our team is made up of specialists in regulatory risk, who have a range of experience in roles assessing, maintaining and developing robust compliance frameworks. As a regulated entity, we are also able to share our best practice recommendations in regulatory reporting and can apply a view based on our own knowledge and experience.



“Regulation is a big deal in the industry. Target Consultants’ knowledge means we can interpret guidelines and implement quickly. This has been a major advantage.”

Rhodri Evans,
Business Systems Manager,
Nemo Personal Finance



Visit targetgroup.com to learn more about our compliance services and why Target Group is the right partner for you. T: 0845 650 6200 www.targetgroup.com